

020904

15866 U.S. PTO

PTO/SB/05 (06-03)

Approved for use through 07/31/2003. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonapplications under 37C.F.R. §1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- |  |  |
|--|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original, and a duplicate for fee processing)</i>                                       | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer Program (Appendix)                                    |
| 2. <input type="checkbox"/> Applicant claims small entity status<br>See 37 CFR 1.27  | 8. Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i>   |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>28</u> ]  | a. <input type="checkbox"/> Computer Readable Copy (CRF)   |
| - Descriptive title of the Invention   |  |
| - Cross References to Related Applications   |  |
| - Statement Regarding Fed sponsored R&D  |  |
| - Reference to sequence listing, a table, or a computer program listing appendix   |  |
| - Background of the Invention  |  |
| - Brief Summary of the Invention   |  |
| - Brief Description of the Drawings ( <i>if filed</i> )  |  |
| - Detailed Description   |  |
| - Claim(s)   |  |
| - Abstract of the Disclosure   |  |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total sheets <u>3</u> ]   | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))  |
| 5. <input type="checkbox"/> Oath or Declaration [Total pages <u>—</u> ]  | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br><i>(when there is an assignee)</i> |
| a. <input type="checkbox"/> Newly executed (original or copy)  |  |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d))<br><i>(for continuation/divisional with Box 18 completed)</i>  |  |
| i. <input type="checkbox"/> <b><u>DELETION OF INVENTOR(S)</u></b><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). |  |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   | 11. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )   |
| 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  |  |
| 13. <input type="checkbox"/> Preliminary Amendment   |  |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(Should be specifically itemized)</i>   |  |
| 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)<br><i>(if foreign priority is claimed) (UK 0303085.5)</i>   |  |
| 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  |  |
| 17. <input type="checkbox"/> Other:  |  |

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37CFR 1.76.

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number	<u>28523</u>	or <input type="checkbox"/> Correspondence address below	
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	
NAME (Print/type)	Christine S. Lee	Registration No. (Attorney/Agent)	42,788
Signature	<u>Christine S. Lee</u>		Date
			February 9, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small status. See 37 CFR 1.27**Total Amount of Payment** \$1,408.00**METHOD OF PAYMENT (check all that apply)**
 Check  Credit Card  Money  Other  None  
 Order
 Deposit Account:

Deposit Account Number	16-1445
Deposit Account Name	Pfizer Inc

## The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE****Large Entity** **Small Entity**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	770
1002	340	2002	170	Design filing fee	
1003	530	2203	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	filing fee	

Subtotal (1)s \$ 770

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	25	- 20** =	5	x	18	=	90.00	Extra Claims	Fee from below	Fee Paid
Independent Claims	6	- 3 =	3	x	86	=	258.00			
Multiple Dependent					290.	=	290.00			

**Large Entity** **Small Entity**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue independent claims over original patent

(\$ 638.00)

\*\*or number previously paid, if greater; For Reissues, see above

8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))
1801	770	2801	385	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

Other Fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

Subtotal (3)

(\$)

**SUBMITTED BY**

(Complete if applicable)

Name (Printed/Type)	Christine S. Lee	Registration No. (Attorney Agent)	42,788	Telephone	(860)686-0348
Signature	<i>Christine S. Lee</i>	2/19/04			

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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